

**COMBINED INTERFERON ALFA AND LIPSOSMAL-ENCAPSULATED  
ALL-TRANS RETINOIC ACID, INCLUDING PREPARATION AND USE**

**Cross-Reference to related Applications**

5                    This application claims priority to Provisional Application  
60/193,565 filed March 31, 2000.

**Field of the Invention**

Alfa interferon ( $\alpha$ -IFN or alpha-interferon) and liposomal all-trans  
retinoic acid is useful in cancer treatment with particular reference to renal  
10 cancer. Optionally, a regimen of  $\alpha$ -interferon from about 3 to about 5 million  
units sc daily and liposomal all-trans retinoic acid (*e.g.*, ATRAGEN<sup>®</sup>, Aronex  
Pharmaceuticals, The Woodlands, TX) at a dose from about 15 mg/m<sup>2</sup> to  
about 90mg/m<sup>2</sup>, or about 140mg/m<sup>2</sup>, or about 300mg/m<sup>2</sup> or more. Dosage  
periodicity of about five times per week for both drugs in about 8 week  
15 cycles is useful. In some instances interferon is dosed more often including  
every other day and daily.

**Background of the Invention**

The incidence of renal cell carcinoma is estimated to be approximately  
20 30,000 new cases annually, with a death rate of 10,000 patients per year  
(1). At the time of diagnosis approximately fifty percent of patients have  
disease localized to the kidney, thirty percent of patients have distant  
metastases, and the remaining twenty percent of patients have locally  
advanced disease (2). Currently, surgical resection of all discernible disease  
25 is the only potentially curative therapy. For patients with stage I or II

disease, the five year survival ranges from 45 to 85%, while for patients with stage III disease the five year survival ranges from 15 to 35% (2).

Occasionally, selected patients with stage IV disease have prolonged disease free survival after resection of solitary metastases.

5 For those patients with surgically unresectable disease, therapeutic options include chemotherapy, hormonal therapy and immunotherapy. Unfortunately, all of these therapies are relatively unsuccessful. Hormonal therapy has little or no therapeutic effect (3). Similarly, available chemotherapy has been generally ineffective. More than 40 drugs have been  
10 investigated, but none achieved a response proportion greater than 15-20% alone or in combination (4). The potential therapeutic benefit of biologic response modifiers like interferons (IFN) have been studied in RCC (5). Queseda, et al, first reported the clinical efficacy of human leukocyte IFN in metastatic RCC (6). Subsequently, numerous clinical trials with various  
15 subtypes of IFN including purified human lymphoblastoid interferon-alpha and purified recombinant interferon-alpha 2a and 2b have been performed. In these trials, the proportion of patients experiencing major responses is only about 15% (and a range of 5-29%), with a median duration of response ranging from three to 16 months (5; 7). In a review of 18 trials of renal  
20 carcinoma treated with interferon-alpha, Krown et al found no significant difference in response based on type or schedule of drug (7). There was, however, evidence that moderate doses of interferon-alpha produced superior response rates when compared to either low or high doses. Thus,

the overwhelming majority of patients with RCC are unresponsive to the antitumor effects of IFN given as a single agent (8; 9).

Other clinical trials have investigated the efficacy of other biological response modifiers alone or in combination with IFN  $\alpha$  in the treatment of patients with metastatic RCC (10; 11). Interleukin-2 (IL-2), with or without lymphokine-activated killer (LAK) cells, has been extensively studied. Although initial clinical trials reported significant numbers of major clinical responses with IL-2, this was associated with significant toxicity and few patients have shown long term clinical benefit (12; 13). The addition of interleukin-2 (IL-2) to IFN resulted in a higher number of clinical responses in patients with advanced RCC in one study (14), however, this was not observed in subsequent trials (15; 16). Overall, the data suggest that, similar to IFN  $\alpha$ , the proportion of patients experiencing significant responses with IL-2 based therapy is approximately 15% (17). It is clear that the need exists for more effective therapy for patients with advanced renal cancer.

A phase II trial of Interferon alfa-2a and free (non-liposomal) 13-cis-retinoic acid (CRA) was conducted at Memorial Sloan-Kettering Cancer Center (MSKCC) in patients with advanced renal cell carcinoma (RCC). IFN was given daily; starting at 3 million units (MU) and the dose was escalated every seven days from 3 to 6 to 9 MU. The CRA was given daily at a dose of 1mg/kg/day. Thirteen (30%) of 43 evaluable patients achieved a major response (three complete, ten partial) (34). In addition to lung and nodal metastases, responding sites included bone metastases and renal primary

tumors.

Other trials have also reported using a combination of 13-cis retinoic acid and IFN (36; 37). In one study examining the pharmacokinetics of free all-trans retinoic acid (ATRA) in patients with renal cancer concomitantly  
 5 treated with IFN, peak levels of atra in the serum declined after three months on therapy (38).

### Summary of the Invention

This invention comprises a method of inhibiting the growth of cancer  
 10 cells , and particularly renal cancer cells, comprising exposing cancerous cells to a therapeutically effective amount of a composition which comprises at least one interferon and a retinoid, wherein said retinoid is associated with lipid carrier particles. Particular note is made of the method the retinoid is retinoic acid; such as all-trans retinoic acid.

15 In some embodiments of the method the lipid carrier particles comprise all-trans retinoic acid, lipid, and a triglyceride and the molar ratio of retinoid to lipid is at least about 15:85, where the triglyceride is at least about 15% by weight of the composition, and where the composition is stable in an aqueous environment. In specific embodiment the method of  
 20 comprises administering said retinoid composition in doses administered over a period of at least one-half hour, and, optionally, administering said retinoid composition at a frequency of about every other day or less frequent.

In another embodiment this invention comprises a method of inhibiting

the growth of cancer cells comprising exposing cancerous cells to a therapeutically effective amount of a composition which comprises at least one interferon and further co-timely exposing of said cancerous cells to a therapeutically effective amount of a retinoid, wherein said retinoid is

5 associated with lipid carrier particles.

A composition of the present invention comprises a therapeutic treatment kit for the treatment of cancer comprising interferon, retinoid and instructional materials for the combined use of said retinoid and interferon. In some instances instructional materials include such information as dosage,

10 indication, and contraindication and storage parameters.

#### Detailed Description of the Invention

A. "Exposing" as used in relation to cancerous cells shall mean *in vivo* and further include *extra corporeal* as well as *in vitro* applications. *In vitro* applications are particularly useful in diagnostic and screening

15 applications of the present invention.

B. Cancer shall be broadly understood to mean an abnormal uncontrolled growth of tissue that has potential to spread to distant sites of the body. In particular, cancer shall include renal cell carcinoma including

20 chromophobe cell renal carcinoma and further granular/eosinophilic variants of these tumors and renal oncocytoma, renal leiomyosarcoma. Particular note is made of head, neck, and breast cancer. Head, neck, and breast cancer are often found to have reduced retinoid levels. In specific instances

tumor cells presenting with low retinoid levels exhibit enhanced therapeutic response to the instant therapy.

C. "Therapeutically effective amount" is defined independently for each drug. As to L-ATRA a therapeutically effective amount shall mean  
5 about 15-300 mg/m<sup>2</sup> and particularly 90 mg/m<sup>2</sup>.

As to interferon alfa a therapeutically effective amount shall mean from about 1 to about 25 million IU and particularly 3-5 million IU.

It is anticipated that interferons alfa, beta, gamma, and omega are administered in similar doses. Doses are generally adjusted to at or below  
10 the maximum tolerated dose (MTD). Signs indicative of interferon toxicity are noted to be as to hematologic toxicity, anemia, thrombocytopenia, leukopenia; as to gastrointestinal toxicity, diarrhea, dyspepsia, dysphagia, N/V, abdominal pain; as to liver toxicity increases in bilirubin, alk phos and LFTs; as to kidney and bladder, microscopic hematuria, pyuria, azotemia,  
15 proteinuria, acute renal failure, nephrotic syndrome, glycosuria, albuminuria; as to pulmonary, orthopnea, dyspnea, bronchospasm, coughing, pulmonary edema, ARDS; as to cardiac toxicity syncope, MI, SVT, bradycardia, tachycardia, dizziness, hyptoension, hypertension. Neurological toxicity are confusion, tremors, numbness, paresthesia, inability to concentrate,  
20 somnolence, hallucinations, encephalopathy, seizure, coma, psychomotor retardation, memory dysfunction, dry mouth, sweating, personality disorder, agitation, neuropathy, depression, anxiety, aphasia, retinal infarction with vision loss, eye pain, hemianopsis, taste change, headache, syncope,

insomnia. Dermal toxicity of skin rash, urticaria, epidermal necrosis, maculopapular rash is noted. Metabolic toxicity manifests as hyperglycemia. In addition coagulation is monitored for increase in PT/PTT. Also the presence of pharyngitis, alopecia, fatigue, malaise, anorexia, weight loss, fever, chills, myalgia, arthralgia, cyanosis are potential toxic responses to interferon.

Liposomal ATRA at toxic doses displays hematologic thrombocytopenia. In addition gastrointestinal toxicity of N/V and mucositis. Liver toxicity increase alk phos and LDH. Neurologic toxicity results in emotional changes, and headache. Dermal toxicity is noted in dry skin, dermatitis. Also, metabolic changes are found in an increase in triglycerides levels in the blood. Toxicity is also determined by alopecia, anorexia, dry eyes, cheilitis, epistaxis, joint pain, fatigue, pruritus, and conjunctivitis.

The foregoing notwithstanding, a supervising clinician will understand that initial myelosuppression is a favorable sign in the treatment of leukemias.

Without being bound by any particular theory it is believed that retinoid effects are mediated through retinoic acid nuclear receptors (RARs) which are members of the steroid receptor superfamily of ligand-dependent transcriptional factors (25). Two distinct retinoid nuclear receptor systems exist, the RARs (RAR-a, -b, -g ) and the RXRs (RXR-a, -b, -g ) (26). The RARs and RXRs can heterodimerize following RA binding, and transcriptionally activate or repress other genes which mediate the growth and differentiation effects of RA (26; 27).

D. "Interferon" shall be broadly understood to mean any of several glycoproteins that help the body fight off viral infections. Particular note is made of interferons alfa (or alpha), beta, and gamma. Interferon alpha is the main type of interferon produced by the white blood cells

5 Particular reference is made to interferon alfa-2b, recombinant, (Intron A, Schering) , and interferon alfa 2a (Roferon, Hofman LaRoche).

E. "Retinoid" shall be broadly understood to mean the natural and synthetic derivatives of vitamin A. Isotretinoin (13 cis-retinoic acid) and tretinoin (all trans retinoic acid) represent the two naturally occurring isomers  
10 of retinoic acid (18).

F. Lipid Carrier particle shall be expansively understood to mean all lipid-drug particulates. Reference also is made to US 5,811,119, "Formulation and Use of Carotenoids in Treatment of Cancer" to Mehta et al. Reference is further made to U.S. Pat. 4,610,868 to Fountain. Fountain is a  
15 patent which describes amorphous lipid particles, with particular reference to Fountain col. 7, lines 1-17. Lipid carrier particles is a term known in the art defining structures in addition to liposomes.

Particular reference is made to liposomal ATRA. In one embodiment. Liposomal ATRA or liposomal tretinoin (also known as liposomal ATRA  
20 Tretinoin<sup>LF</sup> or ATRAGEN<sup>®</sup>) is provide by Aronex Pharmaceuticals, Inc (The Woodlands, Texas). Without being bound by any particular theory, the liposomal delivery system improves the activity of the tretinoin by altering its pharmacological profile, such as changing the drug's pharmacokinetics and



tissue distribution. Once injected into the bloodstream, liposomes are quickly cleared by the reticuloendothelial system (RES) cells which include the liver and spleen and, most importantly, the hematopoietic tissues from which the malignant cells are seeded. Minimal liposomal uptake occurs in  
5 tissues with continuous, non-fenestrated capillaries such as muscle and nervous tissue.

Another beneficial difference is that the lipid formulation bypasses the clearance mechanism that evolves in the livers of patients treated with the oral formulation. In addition, toxicities associated with oral doses of  
10 tretinoin are reduced in some cases because liposome encapsulation of tretinoin decreases direct exposure of the tretinoin during circulation to levels below the orally administered toxic dose. The latter allows greater total exposure of the drug on initial dose accompanied by slower clearance of the tretinoin. This is also understood to be an avoidance of ATRA resistance.

15 G. "Co-timely" as to drug administration shall mean administration of interferon while L-ATRA is present in a therapeutically effective amount or the reverse. It is to be understood that in some instances this will require sequential administration. In some instances, multiple routes of administration will be employed such as intravenous or subcutaneous  
20 injection of an alfa interferon, while a L-ATRA is administered i.v. prior to or subsequent to such interferon administration.

Treatment is usefully employs liposomal ATRA in the form of ATRAGEN®. A vial of lyophilized ATRAGEN® is reconstituted with 50 ml of

0.9% sodium chloride for injection, USP, to provide a 2 mg per ml of liposomal suspension requiring no further dilution steps. The vial is then shaken vigorously for one minute. This forms a dispersion of ATRAGEN® liposomes. Several minutes is then for the foaming of reconstituted product to subside prior to transfer of the suspension. Due to the foaming of the reconstituted product, approximately 5-10 mL of the 50 mL of product may not be transferable. At this point, the reconstituted drug is aseptically transferred into an I.V. bag or bottle. Alternatively, properly cover the I.V. bag or bottle to sufficiently reduce light exposure during infusion (I. V. lines do not generally require coverage. As to interferon-alfa 2b, Inton A, (Schering Oncology)., this is available as a reconstituted solution for injection in 3, 5 and 10 million IU vials. Each vial contains 3 (or 5 or 10) million IU of Interferon alfa-2b; recombinant, dissolved in 0.5 ml (3 and 5 million unit vials) or 1 ml (10 million unit vials). Each 1ml contains 7.5 mg sodium chloride, 1.8 mg sodium phosphate dibasic, 1.3 mg sodium phosphate monobasic, 0.1 mg edetate disodium, 0.1 mg polysorbate 80, and 1.5 mg m-cresol as preservative. Vials are stored in refrigerator (4° C) prior to use and is stable for up to 7 days at 35°C and at 30°C for up to 14 days.

In some instances, interferon is administered s.c. Blood levels tend to peak at about 4 hours. For patient comfort, interferon is usefully administered in the evening so that a subject will be asleep during the more severe side-effects. Co-timely administration particular is noted to present ATRAGEN® concentrations to coincide with interferon peaks. In one

embodiment, interferon is administered Monday through Friday and ATRAGEN® Monday, Wednesday and Friday.

Example 1

A 63 year old human male presented with metastatic renal cancer. Alfa  
5 interferon and ATRAGEN® were administered as follows:

Interferon at  $5 \times 10^6$  units s.c. daily Monday through Friday, and ATRAGEN®  
15mg/m<sup>2</sup> i.v., Monday, Wednesday and Friday.

This treatment was provided in 8 week cycles resulting in regression of the  
cancer.

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The compositions of this invention possess valuable pharmacological properties. They inhibit neoplasm cell proliferation and or angiogenesis in cancer therapy in human and veterinary medicine. Administration is  
5 contemplated to include chronic, acute or intermittent regimens.

The compositions are particularly useful in treating renal cancers and other solid tumors.

In addition, the compositions can be used in in vitro methodologies, including diagnostics or screening procedures (e.g., in an assay sensitive  
10 cancer types). In some embodiments, tissues, cells or material treated in vitro or extra corporeally will, thereafter, be reintroduced into a subject (which need not be the source of origin of the tissue, cells or material). Compounds of the present invention can be employed in admixture with carriers, excipients and other drugs, and radiation therapy.

15 The compositions of this invention are generally administered to animals, including but not limited to mammals such as livestock, household pets, humans, cattle, cats, dogs, poultry, etc.

The pharmacologically active compositions of this invention can be processed in accordance with conventional methods of Galenic pharmacy to  
20 produce medicinal agents for administration to patients, e.g., mammals including humans.

The compositions of this invention can be employed in admixture with conventional excipients, i.e., pharmaceutically acceptable organic or

inorganic carrier substances suitable for parenteral, enteral (e.g., oral or inhalation) or topical application which do not deleteriously react with the active compositions. Suitable pharmaceutically acceptable carriers include but are not limited to water, salt solutions, etc. The pharmaceutical preparations can be sterilized and if desired mixed with auxiliary agents, e.g. They can also be combined where desired with other active agents, including radiation or other antineoplastic therapy.

In some embodiments of the present invention, dosage forms include instructions for the use of such compositions.

For parenteral application, particularly suitable are injectable, sterile solutions, preferably suspensions. Ampules are convenient unit dosages.

Sustained or directed release compositions can be formulated, e.g., liposomes or those wherein the active component is protected with differentially degradable coatings, e.g., by microencapsulation, multiple coatings, etc. It is also possible to freeze-dry the new compositions and use the lyophilizates obtained, for example, for the preparation of products for injection.

Generally, the two compositions of this invention are dispensed in unit dosage form comprising liposomal ATRA of from 15 to 300 or more mg/m<sup>2</sup> and particularly about 90 mg/m<sup>2</sup> ATRA. Interferon is administered at from about 1,000,000 to about 25,000,000 IU, and particularly from about 3,000,00 to about 5,000,000 sc and from daily to about 5 out of 7 days to about 3 out of 7 days per week.

It will be appreciated that the actual preferred amounts of active compositions in a specific case will vary according to the specific compositions being utilized, the particular compositions formulated, the mode of application, and the particular situs and organism being treated.

- 5 Dosages for a given host can be determined using conventional considerations, e.g., by customary comparison of the differential activities of the subject compositions and of a known agent, e.g., by means of an appropriate, conventional pharmacological protocol.

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